

Investment or Deposit Type

College

Foundation 4252.68 425.52

Common Stock (U.S. and foreign stocks held in separately managed accounts) 004 Tc 0TJEMC /P <</

Municipal Obligations

- Interest Only Strips (IOs)
- Principal Only Strips (POs)
- Inverse Floaters
- Stated Final Maturity longer than 10 years
- Other CMOs - "Agency"
- Other CMOs - "Private Label"

- Highly Rated (AAAAA or equivalent)
- Other Investment Grade (A or equivalent)
- High Yield Bonds (BB or equivalent)
- Not Rated (NR)
- Fixed Income and Mutual Funds (long term) 116,597.73

- U.S. Government Securities ("Treasuries")
- U.S. Government Agency Securities ("Agencies")

Certificates of Deposit (CD) - Nonnegotiable	1,426,788.83	
Certificates of Deposit (CD) - Negotiable		
Bank Deposits	2,014,858.87	1,235,193.71
Cash Held at State Treasury		
Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)		
Other - list by type		
Total Short-Term Investments & Deposits	5,003,169.32	1,235,193.71
TOTAL INVESTMENTS and DEPOSITS	5,003,169.32	6,318,226.77

Northeast Texas Community College does employ an outside investment advisor/manager to provide the District with investment decisions. The investment advisor/manager is Mr. M. J. Trusty, Esq., CTFA, of Guaranty Bank & Trust, 201 S. Jefferson Ave., Mt. Pleasant, Texas 75455. This advisor does have the authority to make investment decisions for the District provided these decisions follow the policies set forth and approved by the college's Board of Trustees.

Northeast Texas Community College does not use soft dollar, directed brokerage or directed commission, commission recapture, or similar arrangements as a means of paying investment services.

Northeast Texas Community College is associated with Northeast Texas Community College Foundation. The Foundation is included in accordance with Statement No. 39 of the Governmental Accounting Standards Board. The outside investment advisor/manager is Mr. M. J. Trusty, Esq., CTFA, of Guaranty Bank & Trust, 201 S. Jefferson Ave., Mt. Pleasant, Texas 75455.

For inquiries regarding the investments of Northeast Texas Community College, please contact:

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